Dear Colleagues:

Welcome back from what I hope was an enjoyable holiday break! Since returning from sabbatical, I want to take this opportunity to thank you for all your efforts over the past year. I also want to thank Dr. Christina Perry for taking on the role of Interim Associate Dean for Research and Community Engagement during my absence in the Fall 2016 semester. She did an excellent job working with faculty and staff in support of research and scholarship and addressing many of the complex challenges associated with research administration. In addition, I extend my welcome to our new COE Academic Operations Officer, Ignacio Ortiz, and look forward to having his expertise and assistance in supporting our research mission.

We continue to be successful in our external funding thus far in FY 17 as you can see by our list of awarded grants to date in this newsletter. Our grant awards in the first half of FY17 have exceeded $4 million, which has more than doubled since the same time last year. Also, relative to achievement in scholarly works, please take a look at the 2015-16 COE Faculty Publication List document located at http://coeresearch.unm.edu/dashboard/faculty-publications.html. The COE overall had 173 total publications that were produced collectively by faculty in the last academic year. Congratulations to all of you who had journal articles, books, book chapters, or other scholarly writing published in the previous academic year.

I want to remind faculty about the In-House Editorial Board and the opportunity to submit manuscripts for review internally before they are submitted to a journal. Procedures for submitting an article are posted in this newsletter and also accessible on the COE research website.

A goal for this semester is to finalize the operational and management plan for the new Center for Collaborative Research and Community Engagement. The COE faculty approved the mission and guiding principles for the CRCE during the Fall 2016 semester. I extend my thanks to the faculty workgroup and Dr. Christina Perry for their efforts in moving this initiative forward. I will be meeting early in the semester with the faculty workgroup and Dean Ochoa so that we can complete the plan for review by the COE Leadership Team and faculty.

Finally, remember that the COE Office of Research & Community Engagement (ORCE) is here to assist you with your proposal development needs. If you have an idea you want to discuss or if you are ready to respond to a request for proposal from a funding agency, you need complete the electronic Early Alert form by clicking on the red box at https://coeresearch.unm.edu/.

The ORCE Research Support Team will meet with you to discuss your project ideas, identify and evaluate potential funding sources, provide assistance in the development of your proposals and budgets, and submit your proposals for routing and institutional approval. I am also here to discuss your needs regarding research support and scholarly development as a faculty member.

Once again thank you for you efforts and creative energies. It is a pleasure to work with you.

Dr. David Scott
Associate Dean for Research & Community Engagement

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WELCOME

We want to welcome the new Academic Operations Officer.

Ignacio Ortiz
ortizi@unm.edu
phone: 272-6284
TEC 3rd Floor Dean's Suite
# COE Awards FY16 by Department

7/1/16 through 12/31/16

<table>
<thead>
<tr>
<th>PI</th>
<th>Project Title</th>
<th>Sponsoring Agency</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Center for Student Success (CSS)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Frederick, Smith</td>
<td>FY17 Three Tiered Teacher Licensure System (3-TTLS)</td>
<td>NM Public Education Department</td>
<td>$1,188,585</td>
</tr>
<tr>
<td><strong>Family Development Program (FDP)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vermilya, Lois</td>
<td>FY17 Professional Staff Development Training for Educators of Young Children</td>
<td>City of Albuquerque</td>
<td>$3,600</td>
</tr>
<tr>
<td></td>
<td>Early Childhood Collaborative Leadership Development</td>
<td>New Mexico Children, Youth and Family Development</td>
<td>$18,865</td>
</tr>
<tr>
<td></td>
<td>Building Collaborative Leadership Capacity for FOCUS in NM Early Childhood Investment Zones</td>
<td>Region IX Education Cooperative</td>
<td>$113,732</td>
</tr>
<tr>
<td><strong>Health, Exercise &amp; Sports Sciences (HESS)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Saavedra, Elba</td>
<td>Education and Navigation Among Hispanic/Latina Women in Bernalillo and Valencia Counties</td>
<td>Komen Breast Cancer Foundation</td>
<td>$59,174</td>
</tr>
<tr>
<td>Saavedra, Elba</td>
<td>Hispana/Latina Survivor Voice: Partnering to Improve Breast Cancer Care</td>
<td>Patient-Centered Outcomes Research Institute (PCORI)</td>
<td>$15,000</td>
</tr>
<tr>
<td>Saavedra, Elba</td>
<td>Comadre a Comadre Program - 2016</td>
<td>United Way of Central New Mexico</td>
<td>$18,480</td>
</tr>
<tr>
<td>Tingstrom, Cathy</td>
<td>Phase II: Adaptive Sports and Recreation Clinics for Citizens with Disabilities</td>
<td>NM Governor's Commission on Disability</td>
<td>$30,000</td>
</tr>
<tr>
<td><strong>Individual, Family &amp; Community Education (IFCE)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lemberger-Truelove, Matthew</td>
<td>Enhancing Early Childhood Instruction to Support Children's Self-Regulation, Executive Functioning, and Readiness to Learn</td>
<td>Thornburg Foundation</td>
<td>$100,000</td>
</tr>
<tr>
<td><strong>Language, Literacy &amp; Sociocultural Studies (LLSS)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Celedon-Pattichis, Sylvia LópezLeiva, Carlos Pattichis, Mario (ECE)</td>
<td>Broadening Participation of Latina/o Students in Engineering Using an Integrated Mathematics, Engineering and Computing Curriculum in Authentic, Out-of-School Environments</td>
<td>National Science Foundation</td>
<td>$1,211,070</td>
</tr>
<tr>
<td>LópezLeiva, Carlos</td>
<td>Access, Agency and Allies in Mathematical Systems (AIMS)</td>
<td>Michigan State University</td>
<td>$19,855</td>
</tr>
<tr>
<td>Sims, Christine</td>
<td>American Indian Professional Educators Collaborative</td>
<td>US Department of Education</td>
<td>$344,325</td>
</tr>
<tr>
<td><strong>Multicultural Education Center (MEC)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sims, Christine</td>
<td>English Language Learner Teacher Preparation Program</td>
<td>New Mexico Higher Education Department</td>
<td>$100,000</td>
</tr>
<tr>
<td><strong>Teacher Education, Educational Leadership &amp; Policy (TEELP)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Florez, Viola</td>
<td>Community Schools and Universities: Working Together to Transform Lives</td>
<td>ECMC Foundation</td>
<td>$82,074</td>
</tr>
<tr>
<td>Krebs, Marjorie</td>
<td>Zuni Engaging Teachers and Community (ZETAC) Phase II</td>
<td>W.K. Kellogg Foundation</td>
<td>$720,274</td>
</tr>
<tr>
<td>Sanchez, Rebecca</td>
<td>Teacher Education Collaborative in Language Diversity and Arts Integration (TECLA)</td>
<td>ECMC Foundation</td>
<td>$130,000</td>
</tr>
<tr>
<td>Torrez, Cheryl</td>
<td>UNM/ Zuni Teaching with Primary Sources</td>
<td>Library of Congress</td>
<td>$19,720</td>
</tr>
<tr>
<td>Tyson, Kersti</td>
<td>Understanding and Supporting Students’ Verbalized Struggles During Whole-Class Mathematical Discussions</td>
<td>University of Edinburgh</td>
<td>$11,165</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td></td>
<td></td>
<td>$4,185,919</td>
</tr>
</tbody>
</table>
**COE Number of Awards by Department**
**FY17 to Date**
*(July 1, 2016 through December 31, 2016)*

- Center for Student Success (CSS): 20%
- Family Development Program (FDP): 15%
- Health, Exercise & Sports Sciences (HESS): 25%
- Individual, Family & Community Education (IFCE): 10%
- Language, Literacy & Sociocultural Studies (LLSS): 10%
- Multicultural Education Center (MEC): 5%
- Teacher Education, Educational Leadership & Policy (TEELP): 5%

- Total number of awards in FY17 to date = 19
- Total dollars awarded in FY17 to date = $4,185,919

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**COE Number of Proposals by Department**
**FY17 to Date**
*(July 1, 2016 through December 31, 2016)*

- Family Development Program (FDP): 26%
- Health, Exercise & Sports Sciences (HESS): 17%
- Individual, Family & Community Education (IFCE): 9%
- Language, Literacy & Sociocultural Studies (LLSS): 9%
- Multicultural Education Center (MEC): 13%
- Teacher Education, Educational Leadership & Policy (TEELP): 9%
- Special Education: 26%

- Total number of proposals in FY17 to date = 23
- Total dollars requested in proposals submitted in FY17 to date = $9,792,648

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**COE FACULTY EARLY ALERT**

If you are thinking about a grant, looking for funding, included in someone else’s proposal, or ready to write the proposal, please use Early Alert Proposal Support

corersearch.unm.edu/for-researchers/pi-toolkit/index.html
Pivot Funding
Pivot Quick Search Tutorial

While you’re there, try out the Pivot search box. Please keep in mind that you do not need to claim your Pivot profile to use the search box, but you will need to register in Pivot in order to receive Limited Competition Alerts.

Updates from OSP

Welcome back everyone, I hope you all had a nice winter break. As mentioned in the last newsletter OSP is very thankful to all of you for your timely and exceptional proposals, as well as your patience with our office during our transitions. Thank you!

Here are a few updates from OSP.

If you plan on submitting to either NSF or NIH please take a look at the updated guidelines for proposal submission.

The new NSF Grants.gov Application Guide will be effective for proposals submitted, or due, on or after January 30, 2017. The NSF Grants.gov Application Guide has been updated to align with changes to NSF’s Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 17-1).


NIH has updated their application instructions please see link https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-023.html

Also, when proposing a budget for State of New Mexico entities, please take a look at the revised memo dated 11/22/16 located on our website under Forms, Rates and Resources.

Reminders: All PI’s must complete the Grants Management Training Online Course once a year. You can do this by signing up on Learning Central under course GMT 100, this must be completed by December of 2017.

Have a great 2017!

Monica Waquie
Sponsored Projects Officer
Office of Sponsored Projects

Office of Research & Community Engagement | College of Education, University of New Mexico
**IRB Announcements**

**Welcome New IRB Chair and Vice Chair**
Congratulations to Christine Mermier, PhD, Associate Professor, Health Exercise & Sports Science, as new Chair of the UNM IRB. Dr. Mermier is very knowledgeable with regard to federal regulations and has a demonstrated commitment to the ethical conduct of human research, not only through service on the UNM IRB and HRRC but also as Principal Investigator and faculty mentor on many human research studies.

**Conflict of Interest**
The OIRB is working closely with the Conflict of Interest (COI) Office to create processes to ensure efficient, compliant review of disclosures related to the conduct of human research. Please be aware that any IRB project team member that has been issued a COI management plan or a COI decision memo with stipulations must submit a copy of that documentation to the IRB, either upon receipt of that documentation or at the time of a subsequent submission (such as a continuing review).

**Expiration of IRB Approval**
The UNM IRB has recently revised its policy regarding Expiration of IRB Approval. Please review and contact our office with any questions. Also be reminded that the Faculty Advisor/PI of Record can submit closure paperwork for completed student research projects. It is the responsibility of the PI to ensure that human research studies are properly closed with the IRB.

**Educational Workshops**
The OIRB spring educational workshop series have been scheduled. These workshops cover different topics that relate to IRB submission and review. All workshops are held in the OIRB Conference Room at 1805 Sigma Chi Rd.

**IRB Regulations**
Feb. 6th 10-11am and April 3rd 2-3pm - Applying federal regulations to your research

**IRB Elements**
Feb. 13th 10-11am and April 10th 2-3pm - Forms and documents needed for an IRB submission

**IRBNet**
Feb. 20th 10-11am and April 17th 2-3pm - Navigating the IRBNet submission website

**IRB Protocol & Consent**
Feb. 27th 10-11am and April 24th 2-3pm - Writing the perfect protocol and consent form

Space is limited so reserve your space today by emailing us at **IRBMainCampus@unm.edu** and include which workshops you would like to attend!

Linda Petree, CIP  
Director, Office of the Institutional Review Board  
(505) 277-0472

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Dear Colleagues:

We are pleased to announce that a revised version of the [NSF Grants.gov Application Guide](https://www.grants.gov) has been issued. The NSF [Grants.gov Application Guide](https://www.grants.gov) has been updated to align with changes to NSF’s Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 17-1). All References to the [Grant Proposal Guide (GPG)](https://www.grants.gov) and [Award & Administration Guide (AAG)](https://www.grants.gov) have been replaced with references to the [Proposal & Award Policies & Procedures Guide (PAPPG)](https://www.grants.gov). Editorial changes have also been made to either clarify or enhance the intended meaning of a sentence or section or to ensure consistency with data contained in NSF systems or other NSF policy documents.

The new [NSF Grants.gov Application Guide](https://www.grants.gov) will be effective for proposals submitted, or due, on or after January 30, 2017.

Jean Feldman  
Head, Policy Office  
Division of Institution & Award Support  
National Science Foundation  
4201 Wilson Boulevard  
Arlington, VA 22230  
voice: 703.292.8243  
email: jfeldman@nsf.gov
Updated 11/22/16

To: UNM Main Campus and Branches Faculty & Staff
From: Gabriel Lopez, Vice President for Research
Re: Facility & Administrative (F&A) Rates for Non-federal New Mexico Public Sponsor Agreements with UNM Main & Branch Campuses

This memo clarifies the F&A/indirect rates for Non-federal New Mexico Public Sponsor Agreements with UNM Main & Branch Campuses. Funds provided by outside sponsors for sponsored projects must include an appropriate amount to offset F&A costs incurred by the University.

Please note that federal pass-through awards (all awards funded with federal funds\(^1\)) will be required to use the indirect cost rate that applies per UNM’s federally negotiated F&A rate agreement, at http://osp.unm.edu/sites/default/files/UNM%20Rate%20Agreement%20FY17%20Signed.pdf

To support the research mission at UNM and be compliant with our federal commitments, it is critical that we obtain the appropriate F&A rate. The standard rate for Non-federal New Mexico Public Sponsor Agreements with UNM Main & Branch Campuses is 20%, unless noted an exception in the list below:

<table>
<thead>
<tr>
<th>Agency</th>
<th>Rate (unless Federal Flow Through)</th>
</tr>
</thead>
<tbody>
<tr>
<td>All NM School Districts, including APS</td>
<td>10%</td>
</tr>
<tr>
<td>Higher Education Department</td>
<td>5%</td>
</tr>
<tr>
<td>Children, Youth and Families Department</td>
<td>10%</td>
</tr>
<tr>
<td>Department of Health</td>
<td>5.5%</td>
</tr>
<tr>
<td>Health &amp; Human Services Department</td>
<td>5.5%</td>
</tr>
<tr>
<td>Public Education Department</td>
<td>10%</td>
</tr>
<tr>
<td>New Mexico Environment Department</td>
<td>10%</td>
</tr>
</tbody>
</table>

Any rate that is lower than the rates above must be accompanied by an F&A Reduction Request Form indicating the UNM organization that will provide the difference in indirect cost return. The Reduction Request form is at http://osp.unm.edu/osp-forms and must be completed prior to submitting a package to the Office of Sponsored Projects (OSP).

Investigators are reminded that while they are free to discuss the scope of work with a potential sponsor, they are not authorized to negotiate F&A costs. Any “prior understanding” between an investigator and a sponsor regarding F&A rate is not binding on the university.

Notes:
- If the Sponsor is submitting a proposal to the federal government, UNM’s federally negotiated F&A rate agreement shall be used to develop UNM’s subcontract costs, unless F&A is appropriately capped by the Federal sponsor in accordance with applicable federal regulations.
- There may be Sponsor specific program direction specifying the allowable F&A on a specific program. Faculty must include a copy of this direction with the proposal or new contract as justification for a different rate. Investigators are encouraged to coordinate early with OSP to determine if a particular rate direction is valid for that purpose.
- When using the New Mexico Public Sponsor rates above, there will be no additional F&A modifiers on the cost line items. Total Direct Costs (TDC) method will be applied to all costs.

\(^1\) As covered by the Code of Federal Regulations (CFR) at 2 CFR or 48 CFR for example.
MEMORANDUM

Date: July 1, 2016 (Updated 10 3, 2016)
To: Main Campus Principal Investigators, Chairs, Deans, Directors and Department Administrators
From: Gabriel P. López, Ph.D., Vice President for Research
Re: Main Campus Fringe Benefit Rates on Proposals – FY 2017

The fringe benefit rates and methodologies provided in this memo are to be used on new contract and grant proposal budgets with a beginning date on or after July 1, 2016. These rates replace prior year rates. Principal investigators may either use prior year actuals (Method 1) or estimated rates (Method 2). To be in compliance with OMB Circular A-21, Cost Accounting Standards, whichever method is used, it must be used consistently throughout the entire proposal. Since we are responsible for the actual costs incurred, the budgeted amounts should reflect as closely as possible what actual expenses will be. In the event that the budgeted amount does not cover the actual costs incurred, it will be necessary to re-budget during the period of the contract/grant to pay for actual fringe benefit costs.

Method 1 (Actuals):
Estimate actual cost by person based on past experience (actuals). A UNM MyReports report FNRSLBE (Salary Labor Benefits and Encumbrance Report) showing fringe benefit rates as a percent of salary must be included as supplementary documentation when the proposal is sent to the Main Campus Office of Sponsored Projects. A schedule of all personnel on the grant and their respective fringe rates is to be included in each proposal. Fringe benefits are to be increased by 1.3% per year.

**FY17 Example**
28.6% FY16 actual full-time faculty fringe rate is projected at 29% for FY17 and calculated as follows: .286 * 1.013 = .2897 or 29.0%

Method 2 (Estimates):
The fringe benefit rates below assume: (a) a 5% group insurance rate increase each year, FY18-FY21, for eligible employees; (b) statutorily required Educational Retirement changes for FY 17.

<table>
<thead>
<tr>
<th></th>
<th>FY17</th>
<th>FY18</th>
<th>FY19</th>
<th>FY20</th>
<th>FY21*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Faculty .50 FTE and above</td>
<td>29.0%</td>
<td>29.2%</td>
<td>29.4%</td>
<td>29.6%</td>
<td>29.8%</td>
</tr>
<tr>
<td>Staff .50 FTE and above</td>
<td>35.0%</td>
<td>35.5%</td>
<td>36.0%</td>
<td>36.5%</td>
<td>37.1%</td>
</tr>
<tr>
<td>Part-time faculty and staff .25-.49 FTE</td>
<td>22.0%</td>
<td>22.0%</td>
<td>22.0%</td>
<td>22.0%</td>
<td>22.0%</td>
</tr>
<tr>
<td>Part-time faculty and staff, less than .25 FTE</td>
<td>8.1%</td>
<td>8.1%</td>
<td>8.1%</td>
<td>8.1%</td>
<td>8.1%</td>
</tr>
<tr>
<td>Summer salary only</td>
<td>22.0%</td>
<td>22.0%</td>
<td>22.0%</td>
<td>22.0%</td>
<td>22.0%</td>
</tr>
<tr>
<td>Postdoctoral fellows</td>
<td>25.8%</td>
<td>26.3%</td>
<td>26.8%</td>
<td>27.3%</td>
<td>27.9%</td>
</tr>
<tr>
<td>Undergraduate students</td>
<td>1.0%</td>
<td>1.0%</td>
<td>1.0%</td>
<td>1.0%</td>
<td>1.0%</td>
</tr>
<tr>
<td>Graduate Students</td>
<td>1.0% + Insurance</td>
<td>1.0% + Insurance</td>
<td>1.0% + Insurance</td>
<td>1.0% + Insurance</td>
<td>1.0% + Insurance</td>
</tr>
<tr>
<td>Temporary Employees (if total work &gt;520 hours)</td>
<td>22.0%</td>
<td>22.0%</td>
<td>22.0%</td>
<td>22.0%</td>
<td>22.0%</td>
</tr>
</tbody>
</table>

Tuition for Research Assistants should be a separate line item.

Note on insurance:
For all Research Assistants (RA) and Project Assistants (PA) > .25 FTE, health insurance should be budgeted as follows for either method (student insurance to increase 10% per year):

<table>
<thead>
<tr>
<th></th>
<th>FY17</th>
<th>FY18</th>
<th>FY19</th>
<th>FY20</th>
<th>FY21*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fall:</td>
<td>$924</td>
<td>$1,016</td>
<td>$1,118</td>
<td>$1,230</td>
<td>$1,353</td>
</tr>
<tr>
<td>Spring/Summer:</td>
<td>$924</td>
<td>$1,016</td>
<td>$1,118</td>
<td>$1,230</td>
<td>$1,353</td>
</tr>
<tr>
<td>Summer Only:</td>
<td>$308</td>
<td>$339</td>
<td>$373</td>
<td>$410</td>
<td>$451</td>
</tr>
</tbody>
</table>

*Proposals exceeding FY21 will continue to use FY21 rates.

Fringe benefit rates are subject to change the following reference links may assist you with questions that arise in budget planning.

Reference Links:
Main Campus Office of Sponsored Projects, UNM Sponsored Projects Forms
http://osp.unm.edu/osp-forms

UNM Health Sciences Center (HSC), HSC Fringe Benefit Rates on Proposals – FY 2017

Office of Budget, Planning & Analysis, Budget Planner/Development
http://budgetoffice.unm.edu/budget/index.html

Division of Human Resources, Benefits
https://hr.unm.edu/benefits

Division of Human Resources, UNM 2016 – 2017 Student Insurance Plan: Plan Basics
https://hr.unm.edu/benefits/student-health-insurance-changes
The UNM Foundation’s mission is to increase donations to UNM from individuals, corporations, foundations and other organizations. We do that by matching donors’ giving interests with UNM programs and priorities. Private donations network faculty into new types of funding, capture innovation and support it with resources, offer flexibility, and raise UNM’s profile in the local and national funding community.

The central Corporate and Foundation Relations (CFR) Office proactively manages the cultivation, solicitation, and stewardship of organizations, including corporate, family, and private foundations. We work closely in partnership with the College of Education’s senior development officer, Mary Wolford.

Although we serve all university units, faculty and staff, our primary mission is to focus on funding opportunities of $50,000 and up. We’re always looking for great university projects to discuss with our donors and we’d be happy to evaluate whether your project might be a good fit for private funding. Please feel free to contact CFR’s Senior Director of Development Betsy Till at 313-7678 or betsy.till@unmfund.org for more information.

Grant Matters: Tools and Frameworks for Proposal Objectives and Evaluation

Thursday, March 30, 2017
9:00am-12:00pm
TEC 190

Presenters: Dr. Denise Wallen, Research Officer, COE and Dr. Christina Perry, Associate Professor, HESS

We invite you to join us for a workshop for COE faculty and graduate students.

Register Online

More information at coe.unm.edu/ierc
Research Brown Bags – Noon - 1:00pm

February 2, TEC 230   Dr. Rebecca Sanchez, TEELP, “Teacher Education Collaborative in Language Diversity and Arts Integration (TECLA): Notes from the Field”

March 2, TEC 230   Dr. Lorenda Belone, HESS, “A Community Based Participatory Research Study: A Rigorous Examination of the Evidence-Based and Culturally-Centered Family Listening/Circle Program in Partnership with an Apache, Navajo, and Pueblo Community”
Dr. Ryan Kelly, IFCE presenting “The Influence of Family and Romantic Relationship Risk on Sleep”

April 3, TEC 290   Dr. Woong Lim, TEELP presenting “How do coding experiences help build algebra skills of symbols and notations?”

Grant Matters: Wednesday Walk-in sessions - Noon-1:00pm / Dean's Conf. Room (3rd Floor)

January 25 (3F Admin Workroom)   Have a question about any and all matters related to grants and/or contracts? Come to a walk-in session and sign-in for a 15-minute consult between noon-1:00 pm with either Denise Wallen and/or Christine Marquez. Services are offered to all COE faculty and graduate students.

March 30, 9am-12pm, TEC 190   Presenters: Dr. Denise Wallen, Research Officer, COE Administration and Dr. Christina Perry, Associate Professor, HESS

Methodology Group: Open House & Round Table for COE faculty

January 24, 2-6pm in TEC 272   Opportunity for faculty to meet Methodology Group faculty and staff, see our offices and participate in an ongoing conversation in their current projects and future projections.

Methodology Group: Open House & Round Table for COE graduate students

February 2, 3-7pm, TEC 272   Opportunity for graduate students to meet Methodology Group faculty & staff, see our offices and participate in an ongoing conversation in their current projects and future projections.

Methodology Group: Research Methods Open Lab, 9:00am-6:00pm, TEC 272.

February 6-10
February 13-17   Consultants will be available each day for one-hour consultations to look at research design with a focus on ensuring the methods proposed will answer the study’s research questions. Appointments strongly recommended.

Methodology Group: Introduction to R, 1:30-3:30pm, location TBD.

February 23   R is an integrated suite of software facilities for data manipulation, calculation and graphical display.

Methodology Group: Research Fundamentals - Questions about Questions, 1:00pm-5:00pm, TEC 272.

March 4   4-hour workshop for COE graduate students. What makes a good research question? Each participant develops and refines her or his research questions in a way that will guide their research design. Pre-registration required.
SAVE the DATE!

The 2017 Shared Knowledge Conference
April 6, 2017

A one-day event with two showcases

LOBO BITES

Similar to a sound bite, a Lobo Bite is a brief extract from your research selected for its pungency. Present your work in three minutes or less and win a scholarship.

POSTERS

To highlight the diversity of students and programs across campus, each graduate program will select the best of their students’ research to display at the conference.

STAY TUNED FOR MORE DETAILS

SPONSORED BY GRADUATE STUDIES WITH THE VICE PRESIDENT FOR RESEARCH AND THE HONORS COLLEGE
University of New Mexico  
College of Education  

**In-House Editorial Board and Procedures**

The College of Education offers an in-house research support service to all faculty by providing an opportunity to have manuscripts for publication reviewed by a senior faculty member prior to journal submission. The In-House Editorial Board members, listed below, are full professors in the COE and have agreed to serve in this capacity.

The goal of these reviews is to provide you with an independent assessment of your research manuscript before you send it out for review. This can help you identify major issues that may arise in the review process, *a priori*, increasing your chance of getting your work published in high profile journals within a reasonable time frame.

**In-House Editorial Board Members**

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**Procedures for submitting a manuscript for review:**

1. Manuscripts should be submitted by the faculty member first to Associate Dean David Scott who will then forward them to the reviewer based on the following request from the faculty member:
   a. **Blind Review** – a reviewer from the list above will be selected but will not be known to the faculty member. Feedback will be anonymous. (Reviewer will remove name and initials from tracked changes in Word). Faculty will return the manuscript with edits and comments to Assoc. Dean Scott who will return it to the faculty member.
   
   b. **Double-Blind Review** – Same procedures as above only the faculty member’s name will not be included in the manuscript that is sent to the reviewer.
   
   c. **Select Review** – the faculty member sends the manuscript to Associate Dean Scott and indicates which board member he/she would like as the reviewer. The reviewer will be contacted first by Assoc. Dean Scott and, if available, will be sent the manuscript. At that point, the reviewer may communicate directly with the faculty member during the review process.

2. The timeframe for the review is 2-3 weeks. If this is not possible due to reviewer workload or other circumstances, a different reviewer may be requested/selected.

3. All manuscripts submitted for review should be at a well-developed stage in which the purpose is to obtain final suggestions, edits, and improvements.
R01 and R21 Applications & Awards: Trends and Relationships Across NIH

November 4, 2016 by Mike Lauer (NIH Extramural Nexus for full report https://nexus.od.nih.gov/all/)

The NIH R21 activity code “is intended to encourage exploratory/developmental research by providing support for the early and conceptual stages of project development.” NIH seeks applications for “exploratory, novel studies that break new ground,” for “high-risk, high-reward studies,” and for projects that are distinct from those that would be funded by the traditional R01. R21 grants are short duration (project period for up to 2 years) and lower in budget than most R01s (combined budget over two years cannot exceed $275,000 in direct costs). NIH institutes and centers (ICs) approach the R21 mechanism in variable ways: 18 ICs accept investigator-initiated R21 applications in response to the parent R21 funding opportunity, while 7 ICs only accept R21 applications in response to specific funding opportunity announcements.

Numbers of applications have increased substantially for both activity codes, but the rate of growth has been much greater for R21s. In 2001, NIH received six R01 applications for every R21 application received; while in 2015 the ratio of R01 applications to R21 applications less than 2. In using the new investigator policy definition to identify new investigators, we see that, on average across the last five fiscal years, approximately 35% of R01 applications, and 50% of R21 applications, are submitted by new investigators. In case of awards, 35% of R01 awards and 34% of R21 awards are made to new investigators.

• The R21 mechanism is increasingly popular – we are seeing many more applications and awards, with growth rates exceeding those of R01 grants – but also highly competitive.

• Most R21 applicants and awardees have previously received some NIH funding; only 34% of R21 awardees were new NIH investigators.

• Over 15% of R21 awards are followed by at least one similar R01 application, but fewer than 5% of R21 awards are followed by at least one similar funded R01 project.

How Many Researchers Were Supported by NIH as Trainees?

Several years ago, an evaluation report of NIH individual mentored career development grants described the association between success in securing a K grant and subsequent grant application outcomes. Scientists who received K08 and K23 awards were more likely than those who K applications were unfunded to subsequently apply for and to receive an NIH RPG. Similarly, post-doctoral recipients of NRSA F and T awards are more likely than their colleagues to later apply for and receive an NIH RPG (.pptx link, see slide 28). In their recently published book Follow the Money, Funding Research in a Large Academic Health Center, Henry Bourne and Eric Vermillion (both from the University of California at San Francisco) note that K awards effectively function as “auditions” for aspiring faculty members. They write, “Judging clinical competence is straightforward, but it remains fiendishly hard to predict whether a budding scientist will succeed...So, the university uses NIH K awards to help decide whether or not to accept candidate clinician-scientists as full faculty members.” Our current findings suggest that with increasing levels of competition, investigators who wish to secure RPGs are more likely to be successful if they previously competed for and received a training or career development award.

NIHN Extramural News for full report https://nexus.od.nih.gov/all/2016/11/28/how-many-researchers-were-supported-by-nih-as-trainees/

NIH is making Good Clinical Practice training modules specific to social and behavioral research available for download. You can find the modules here: https://obssr.od.nih.gov/training/web-based-learning/good-clinical-practice-for-social-and-behavioral-research-elearning-course/

As you know, the NIH Policy on GCP Training takes effect January 1. Per NIH’s recent response “institutions should not regard the policy’s effective date as a deadline by which we would expect all staff involved in the conduct, oversight, and management of clinical trials to be GCP trained. Rather, as long as steps are being taken to meet the expectation, e.g., staff who have not yet been trained have signed up for a course, the training itself can be taken in a timely fashion after the effective date.”
**Advisor's Corner: Guide to On-Campus Student Funding**

**IT'S SPRING!!!** More than 40 scholarships are available to support COE Graduate Students! Students should apply at the beginning of each spring semester for scholarships for the following academic year. See complete listing of scholarships for details at: http://coe.unm.edu/current-students/scholarships/scholarship-listings.html.

**Scholarship & Award Spotlight:**

**William B. and Roberta V. Castetter Endowed Fellowship.**
This fellowship's objective is to recruit and support a doctoral student of the highest caliber who has displayed exceptional academic qualifications. It will be used to recognize a doctoral student who, through his or her scholarship, creative work, research activity, teaching, and/or practice best demonstrates capacity to make a significant impact not only in New Mexico and the Southwest but nationally and internationally.

**GPSA Summer Scholarship Fund**
This initiative by GPSA has been launched and students are invited to spread the word. The summer semester helps students to matriculate on time, focus on a research project, and complete their seminal works. For students with families, being able to spread their coursework over three semesters a year instead of two is a way to take the stress out of juggling responsibilities. GPSA hopes to assist students through an award to cover tuition cost in summers to come with the GPSA Summer Scholarship. Check the website for more information!

**Doctoral Conference Presentation Award**
The Doctoral Conference Presentation Award provides up to $1000 to fund travel for doctoral students (MFA included) to give oral presentations or posters at national or international, professional conferences. Funds may only be used for travel expenses, lodging, meals, and conference application fees. Applications will not be accepted for research travel. Airfare, registration, hotel, shuttle fees, taxi fares, presentation materials and per diem must be in accordance with UNM policy. Travel must be outside of Albuquerque. Eligible students must be enrolled in a doctoral or MFA program at the time of application. Students must be registered as full time.

**Graduate Student Excellence Awards**
The COE funds graduate assistantships (GA) for faculty/student research. Assistantships include tuition, insurance, GPSA fees, and stipend. COE faculty members must apply for GA funding in the spring semester. If awarded funding each faculty member runs his/her own selection process. The call for applications goes out to faculty only and not students. If interested, students need to begin conversations with faculty about their research interests to see if there are opportunities for research collaborations.

**College of Education Emergency Grant Fund**
The purpose of the Emergency Grant Fund is to provide financial assistance to COE students who have encountered a sudden, unforeseen catastrophic life event that causes a dire financial burden on a student’s ability to maintain adequate academic progress.

**Graduate Student Success Scholarship**
Graduate Studies and the Office of Financial Aid jointly administer the Graduate Student Success Scholarship (GSSS) for graduate students with demonstrable financial need. Designed to help students continue or complete their degree programs, the Success Scholarship allocates funds to the colleges and schools so that they can use the scholarships strategically for their graduate programs. The GSSS provides support for program continuation and completion. The GSSS provides at least $1,000 and up to $7,500 per student per semester for terminal master's degree students and at least $1,000 and up to $9,000 per student per semester for doctoral students. The scholarships may be renewable up to two semesters. Tuition and health care are not included as part of the award.

The COE runs its own process for selecting nominations. Graduate Studies does not accept nominations directly from graduate units or graduate students. Announcements will go out on COE listservs. Be sure to check your UNM email.

**Graduate Research Supplement**
Offered by the Office of Graduate Studies, the Graduate Research Supplement awards up to $2,000 to facilitate field research including artistic projects. Funds may be used only for travel/lodging and research materials that are necessary to conduct the field/project research. This award may not be used for stipends, books, travel to conferences, or equipment expenses. Please see the description on the Graduate Studies website link below for details on application requirements.

**Rogers Research/Project Award**
Offered by the Office of Graduate Studies, the Rogers Research/Project Award provides funding up to $1000 to facilitate field research including artistic projects. Funds may be used only for travel/lodging and research materials that are necessary to conduct the field/project research. Airfare, lodging, and per diem must be in accordance with UNM policy. Travel must be outside of Albuquerque.

**UNM Career Services Student Conference Award Program (S-CAP)**
The Student Conference Award Program (S-CAP) is designed to provide undergraduate and graduate students the opportunity to obtain funding to attend an academic or professional conference in their field of study. The maximum award is $600 and may include: round trip travel, lodging, airport shuttle or taxi fees, and conference registration fees. Your application must be submitted prior to the conference.
The Office of Career Services begins accepting applications for each semester one month prior to the first day of instruction.

**El Centro de la Raza Scholarships - Emergency Student Scholarships**
El Centro offers the “emergency student scholarship” that is available to any UNM student who can demonstrate critical financial need for the current semester. Undergraduate emergency scholarship recipients are provided one $200 scholarship award (only one allowed per academic year). Graduate emergency scholarship recipients are provided one $400 scholarship award (only one allowed per academic year). Scholarships are provided on a first come, first serve basis and will be available beginning of Spring, Fall and Summer semesters.

**El Centro de la Raza Scholarships - Student Professional Development Scholarships**
El Centro offers the “student professional development scholarship” that is available to any undergraduate or graduate student seeking financial assistance to participate or present at a professional or academic conference. Scholarship recipients are provided a scholarship award up to $300 maximum and only one will be allowed per academic year, per student. Scholarships are provided on a first come, first serve basis and will be available beginning of Spring, Fall and Summer semesters.

**University of New Mexico Alumni Scholarship Opportunities**
Thanks to the gifts from alumni, friends, and chapters, the UNM Alumni Association assists top UNM students with their academic expenses. The scholarships are available to full-time undergraduate and graduate students. Scholarship amounts may change annually.

**American Indian Student Services General Scholarship**
Enrollment in and member of a federally recognized tribe is required. Applicants must have completed at least one semester of coursework at UNM-Main Campus to be considered and the majority of awards will be based on grade point average and a combination of other factors, including, but not limited to: field of study, leadership experience, financial need or unmet need.

**GPSA Student Research Grant (SRG)**
The SRG may fund travel and lodging to conduct research in the field (includes artistic projects like photography or dance), the cost of research materials, and/or travel/ lodging and conference fees for conferences at which the applicant is making a presentation. This award funds up to $500. Check GPSA website for grant cycle deadlines and requirements.

**GPSA Professional Development Grant (PDG)**
The PDG may fund travel/lodging and fees for job interviews, auditions, clinicals, mock trials, unpaid internships, conferences at which the applicant is not making a presentation, and other professional development travel or related expenses. May not fund expenses eligible for the SRG. This award funds up to $500. Check GPSA website for grant cycle deadlines and requirements.

**GPSA Graduate Scholarship Fund (GSF)**
Every Fall and Spring semester, GPSA awards $1,000 scholarships to students through the Graduate Scholarship Fund. This fund was created to help students afford to complete their graduate or professional degree. Graduate and professional students in good standing in a UNM graduate or professional degree program are eligible for the scholarship. Preference is given to students near the completion of their studies who demonstrate significant financial need.

**GPSA New Mexico Research Grant (NMRG)**
The NMRG may fund travel, lodging, supplies, and other costs related to field research. Projects with a New Mexico state agency, nonprofit or community organization require a letter of recommendation from a representative of the organization, and are eligible for up to $5,000 (High Priority). Other research projects are eligible for up to $3,000 (General Priority). Offered only in the FALL semester.

**GPSA Grant Reader Opportunities**
All GPSA grants are read and scored by fellow graduate students. As a GPSA grant reader, you ultimately determine which graduate and professional students will be awarded funding each semester. This is a great way to learn about our grants process, hone your own grant writing skills, and get involved with GPSA. All enrolled, degree-seeking graduate and professional students in good standing in a UNM graduate or professional degree program are eligible to become readers. Grant readers are required to attend a short training session and score 12-15 applications each (3-4 hours of work). Readers must also be available to read last minute applications, re-read applications, and be available to attend any appeals that may arise. For their efforts, all readers receive a $50 stipend.

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**COE FACULTY EARLY ALERT**
If you are thinking about a grant, looking for funding, included in someone else’s proposal, or ready to write the proposal, please use Early Alert Proposal Support

http://coeresearch.unm.edu/for-researchers/pi-toolkit/index.html

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